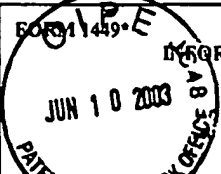


Date Mailed: June 5, 2003

Sheet 1 of 1

	INFORMATION DISCLOSURE STATEMENT		Docket Number: 11798.3USUI	Application Number: 09/751,837
	IN AN APPLICATION		Applicant: SMITH ET AL.	
	(Use several sheets if necessary)		Filing Date: 12/29/2000	Group Art Unit: 2163

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
[Signature]	5,630,127	05/13/1997	Moore et al.			
	5,710,922	01/20/1998	Alley et al.			
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						YES NO
[Signature]	WO 98/25376	06/11/1998	PCT			
	WO 99/17240	04/08/1999	PCT			
	WO 99/40551	08/12/1999	PCT			
	WO 99/42942	08/26/1999	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
[Signature]		"Enterprise Software Architecture (Whitepaper #99-2)," TCS Corporate Architecture Group, pp. 1-9 (August 1999).				
		"IBM CICS Transaction Server for OS/390 - Evolving to e-business with DYNASTY (Whitepaper)," Dynasty Technologies, Inc., pp. 1-22 (April 1999).				
		"Network Computing Architecture™ - An Oracle Technical White Paper," ORACLE®, pp. 1-16 (June 1997).				
		Saletore, V. et al., "Demonstrating a Solution and the Benefits of Intel® Server Cluster Using VI Architecture for Multi-Tier Internet-based Enterprise Applications," Intel Developers Forum: Next Generation of Merrill Lynch Broker Information Management System, pp. 3-15 (September 1, 1999).				
		Scatliff, T., "A Portfolio Management System for the Twenty-First Century," Handbook of Technology in Financial Services, Chapter 37, pp. 37-1 through 37-13 (©1999).				
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EXAMINER	[Signature]	DATE CONSIDERED	12/8/04
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.			